

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US2004/029410

International filing date: 10 September 2004 (10.09.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US  
Number: 60/505,146  
Filing date: 23 September 2003 (23.09.2003)

Date of receipt at the International Bureau: 16 April 2007 (16.04.2007)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

1596301

# UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

*April 09, 2007*

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/505,146

FILING DATE: *September 23, 2003*

RELATED PCT APPLICATION NUMBER: PCT/US04/29410

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS US60/505,146



Certified by

Under Secretary of Commerce  
for Intellectual Property  
and Director of the United States  
Patent and Trademark Office



**PROVISIONAL APPLICATION COVER SHEET**  
*Additional Page*

PTO/SB/16 (02-01)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Docket Number	PU030274	Type a plus sign (+) inside this box →	+
---------------	----------	-------------------------------------------	---

<b>INVENTOR(S)/APPLICANT(S)</b>		
Given Name (first and middle if any)	Family or Surname	Residence (City and either State or Foreign Country)
Jill MacDonald	Boyce	Manalapan, New Jersey

Number 2 of 2

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 160

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	Cristina Gomila
Examiner Name	
Group / Art Unit	
Attorney Docket No.	PU030274

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																											
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 07-0832 Deposit Account Name Thomson Licensing Inc.				<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee Description</td> <td>Fee Paid</td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>410</td> <td>2252</td> <td>205</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>930</td> <td>2253</td> <td>465</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,450</td> <td>2254</td> <td>725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>1,970</td> <td>2255</td> <td>985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>320</td> <td>2401</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,300</td> <td>2453</td> <td>650</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,300</td> <td>2501</td> <td>650</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>470</td> <td>2502</td> <td>235</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>630</td> <td>2503</td> <td>315</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Processing fee under 37 CFR 1.17 (q)</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>750</td> <td>2809</td> <td>375</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>750</td> <td>2810</td> <td>375</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>750</td> <td>2801</td> <td>375</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="4"></td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td colspan="4">SUBTOTAL (3) (\$ 0</td> </tr> <tr> <td colspan="4">**or number previously paid, if greater; For Reissues, see above</td> <td colspan="4"></td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____								*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0				**or number previously paid, if greater; For Reissues, see above							
Large Entity	Small Entity																																																																																																																																																																																																														
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																										
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																											
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																											
1053	130	1053	130	Non-English specification																																																																																																																																																																																																											
1812	2,520	1812	2,520	For filing a request for reexamination																																																																																																																																																																																																											
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																											
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																											
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																											
1252	410	2252	205	Extension for reply within second month																																																																																																																																																																																																											
1253	930	2253	465	Extension for reply within third month																																																																																																																																																																																																											
1254	1,450	2254	725	Extension for reply within fourth month																																																																																																																																																																																																											
1255	1,970	2255	985	Extension for reply within fifth month																																																																																																																																																																																																											
1401	320	2401	160	Notice of Appeal																																																																																																																																																																																																											
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																																											
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																																											
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																											
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																											
1453	1,300	2453	650	Petition to revive - unintentional																																																																																																																																																																																																											
1501	1,300	2501	650	Utility issue fee (or reissue)																																																																																																																																																																																																											
1502	470	2502	235	Design issue fee																																																																																																																																																																																																											
1503	630	2503	315	Plant issue fee																																																																																																																																																																																																											
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																											
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																																																																											
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																											
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																											
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																											
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																											
1801	750	2801	375	Request for Continued Examination (RCE)																																																																																																																																																																																																											
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																											
Other fee (specify) _____																																																																																																																																																																																																															
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0																																																																																																																																																																																																											
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																																															

SUBMITTED BY		Complete if applicable			
Name (Print/Type)	Robert B. Levy	Registration No. Attorney/Agent)	28,234	Telephone	609-734-6820
Signature				Date	September 23, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Pu030274

**A. Brief summary of the invention**

In this invention, we propose an efficient implementation of the film grain simulation algorithm described in [1,2,3]. In particular, we propose to limit the film grain generation process to an initialization step in which a pool of film grain blocks is formed. The pool of blocks could provide different film grain samples for different color components and for different intensity levels if required by the model used to simulate the film grain.

This approach avoids the generation of film grain for blending with every processed block of pixels from the input image. As a result, it strongly reduces the computational complexity of the film grain simulation process.

**B. Keywords: list keywords or combinations of keywords to guide patent and literature searches.  
Underline the most important keywords.**

Film grain simulation, SEI message, JVT, MPEG-4 AVC, H.264.

**C. Brief discussion of the problem solved by the invention.**

In previous disclosures [3,4], we proposed a method for encoding film grain as supplemental enhancement information for a coded sequence. More specifically, we proposed (1) to filter grain out of images before compression, then (2) transmit compressed video together with a message containing information about original grain, and finally (3) let the decoder restore original grainy appearance of images by simulating film grain based on the content of the message. This method provides large bit-rate savings for high quality applications where the film grain is to be preserved. However, it increases decoders complexity since film grain has to be reproduced and blended to the decoded images as specified by the transmitted information.

According to this invention, we propose a method for simulating film grain in the frequency domain by mosaicing a series of pre-computed samples. This method avoids the generation of film grain for blending with every processed block of pixels from the input image. As a result, it strongly reduces the computational complexity of the film grain simulation process.

**D. Discussion of how you or others have implemented similar things in the past, including the manner in which others have attempted to solve the problem. Point out disadvantages and weaknesses in previous practice. Include literature references where available**

Film grain simulation has been implemented in several commercially available products as Cineon, trademark of Eastman Kodak Co., and Grain Surgery from Visual Infinity Inc.. These film grain simulation tools are commonly used in post-production to blend computer-generated objects into natural scenes with low constraints on complexity.

The efficient implementation of film grain simulation algorithms became a topic of interest only recently since the adoption of proposal [2] in the Professional Amendment of the H.264 standard. The standardization of an SEI message conveying film grain parameters will force all H.264 video decoders willing to support that SEI message to implement film grain simulation algorithms. However, at the best of our knowledge, no public literature exists describing efficient implementations of the specified algorithms.

E. Description of the invention, including one or more practical embodiments of the invention in sufficient detail to allow one with ordinary skill in the art to practice the invention. Include schematic(s), flow chart(s) and or figures to clarify operation of the invention. Point out important features and items you believe to be new. State advantages of the invention and sacrifices, if any, made to achieve these advantages. Describe any experiments conducted and the results of those experiments

### BACKGROUND ART

According to the technique described in [1,2,3], film grain can be simulated on a block basis as illustrated in Figure 1. In a first step, the input image without film grain is decomposed in non-overlapping blocks of  $N \times M$  pixels. Blocks are then read in raster-scan order until the whole image is scanned. Each of these blocks originates a block of film grain. Note that because the film grain characteristics may vary depending on the intensity level, the algorithm may select a different set of parameters depending on the average intensity measured on the extracted block. In a particular embodiment, the set of parameters will be conveyed in an SEI message as proposed in [1,2]. The set of film grain blocks generated through this process is stored and organized to form a film grain image that maps the size of the original input image. This composition process is what we have called mosaicing.

Figure 2 depicts the steps of the process that results in the generation of a block of  $N \times M$  film grain samples. The first step consists in generating a block of  $N \times M$  random values. A Discrete Cosine Transform (DCT) is then computed to obtain the corresponding  $N \times M$  frequency coefficients. Note that other transforms could also be used within the same scheme. The frequency filtering of this set of coefficients, computed as specified in [6], controls the size of the simulated grain. Finally, the last step consists in computing the Inverse Discrete Cosine Transform (IDCT) of the modified set of coefficients. This process requires the specification of the noise deviation as well as the high and low cut frequencies that control the filtering process in the frequency domain. According to [1,2,3], such information could be conveyed in an SEI message for video coding applications.

Film grain blending with the original image is then performed as illustrated in Figure 3. Because individual blocks of film grain have been generated independently, artifacts can be perceived at the transitions between blocks. Thus, an optional filtering stage is introduced to reduce the visual artifacts resulting from the film grain mosaicing.

### DESCRIPTION OF THE INVENTION

In this invention, we propose an efficient implementation of the film grain simulation algorithm previously described. In particular, we propose to limit the film grain generation to a pool creation process in which a limited number of film grain blocks are computed. This approach avoids the generation of film grain for blending with every processed block of pixels from the input image. As a result, we strongly reduce the computational complexity of the film grain simulation process.

Different embodiments of this invention are possible depending on whether the pool is created prior to the film grain insertion or whether it is created and further updated depending on the input image statistics. We describe some of these embodiments below.

### **Pool creation pr c ss implement d as an initialization step**

The pool creation process, as illustrated in Figure 4, consists in generating a set of K blocks of film grain samples for each set of parameters in the SEI message. Let us remember that according to [3], different sets of parameters could be sent for different color components and different intensity levels. A particular implementation with K=1 will result in the lowest computational requirements, generating only one block of film grain per set of parameters. However, to avoid the creation of patterns, the use of a larger number of blocks or even the use of a different number of blocks for different intensity intervals is strongly recommended.

Following the pool creation process, information from the SEI message is not further required to assist the mosaicing process that creates the film grain image. As illustrated in Figure 5, film grain generation is now avoided by directly selecting a pre-computed block of film grain from the pool. When more than one block is available for the same intensity level and color component, a selecting criterion should be specified. In a particular implementation, the system could pseudo-randomly select one of the blocks from the pool to avoid the creation of patterns when a reduced number of blocks is available. Along these lines, the mosaicing process could make use of transformed copies of the set of available film grain blocks in a similar approach to that described in [5].

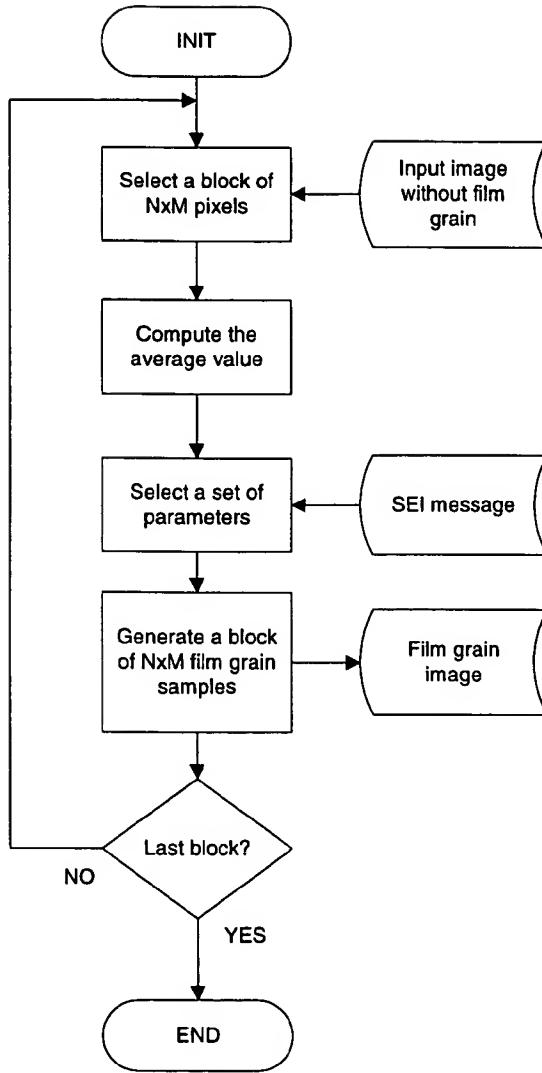
According to this implementation, the pool creation process is completely decoupled from the film grain blending stage. Despite of the computational advantages of anticipating the film grain generation, this approach has the inconvenient of being unaware of whether the input images will make use of all the blocks stored in the pool. The following approach allows a better management of the available memory based on the statistics of the input images.

### **Pool creation and update based on input image statistics**

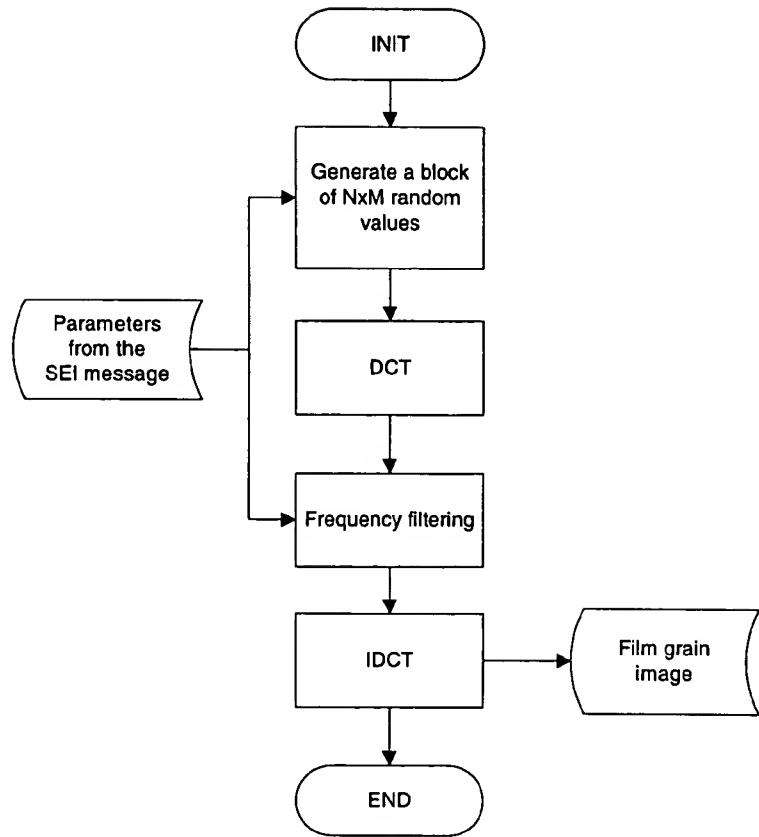
In a particular embodiment, the pool creation process could be performed based on the input image statistics. In this case, the computation of film grain blocks is not done a priori on all the possible intensity intervals described by the SEI message. Instead, the pool creation and/or update are done depending on the input image statistics, as illustrated in Figure 6.

Following this flowchart, when blocks in the pool are available, the algorithm avoids the computation of new film grain samples. However, if no blocks are available in the pool for a specific intensity interval, the algorithm proceeds to the computation of a new film grain block that becomes part of the pool. The algorithm may estimate that blocks are not available not only when the pool is empty, but also if the existing blocks have been recently used for blending. Other criteria for managing the pool creation and update process could also be envisaged.

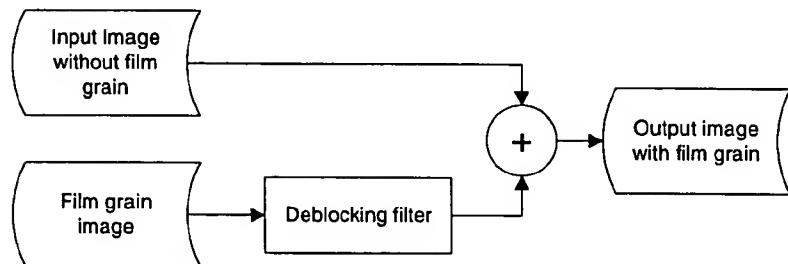
An algorithm in accordance with this invention could progressively create and/or update the pool depending on the statistics of the input images. For example, the algorithm could organize the pool in order to dispose of a larger number of blocks in to those intensity intervals that are most used, to avoid the creation of visual patterns. According to this strategy, the distribution of film grain blocks in the pool could be progressively adapted to match variations in the image statistics (the sequence darkens or lightens).



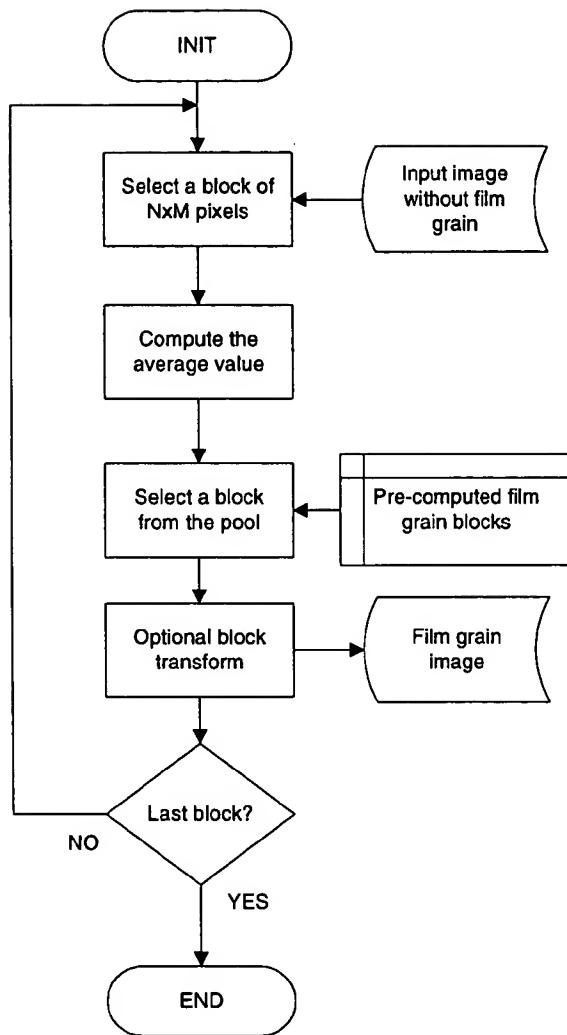
**Figure 1.** - Film grain simulation at the decoder end based on the mosaicing of blocks of  $N \times M$  film grain samples generated by filtering in the frequency domain.



**Figure 2.** - Generation of NxM film grain samples in the frequency domain.



**Figure 3.** - Film grain blending at the decoder with an optional filtering stage introduced to reduce the visual artifacts resulting from the film grain mosaicing.



**Figure 5.** - Film grain simulation at the decoder end based on the mosaicing of pre-computed blocks of film grain samples as described by this invention.

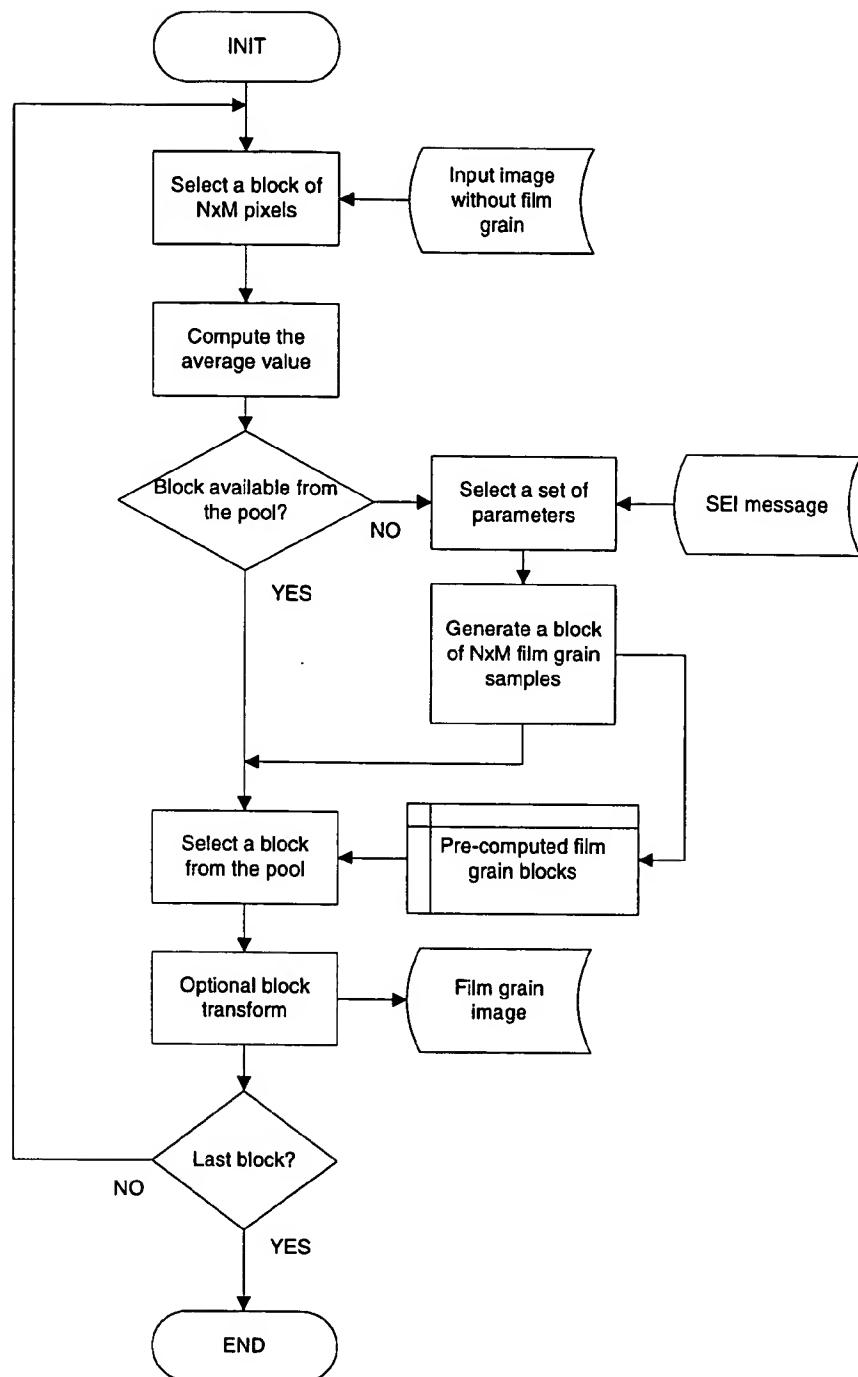


Figure 6. - Pool creation and update based on the statistics of the input images.

## References

- [1] C. Gomila, "SEI message for film grain encoding", contribution JVT-H022, 8<sup>th</sup> JVT Meeting, Geneva, Switzerland, 23-27 May, 2003.
- [2] C. Gomila, "SEI message for film grain encoding: syntax and results", contribution JVT-I013r2, 9th JVT Meeting, San Diego, California, USA, 2-5 September, 2003.
- [3] C. Gomila and A. Kobilansky, "Apparatus for representing signal-dependent film grain noise by a set of parameters", provisional patent application PU03xxxx.
- [4] C. Gomila and J. Boyce, "A method for simulating film grain on encoded video sequences", provisional patent application PU030116.
- [5] M. Schlockermann, S. Wittman and T. Wedi, "Film grain coding in H.264/AVC", contribution JVT-I034, 9th JVT Meeting, San Diego, California, USA, 2-5 September, 2003.
- [6] C. Gomila and J. Llach, "Method allowing automatic film grain modeling in the frequency domain", provisional patent application PU03xxxx.

## Possible claims

1. A method for simulating film grain by mosaicing a series of pre-computed samples.
2. Claim 1 where the pre-computed film grain samples are organized in a pool.
3. Claim 2 where the pool generation process is conceived as an initialization step in which film grain blocks are computed before blending.
4. Claim 2 where the pool is dynamically created and/or updated depending on the input image statistics.
5. Claim 2 where the pool provides different film grain samples for different color components and/or different intensity levels.
6. Claim 2 where transformed versions of the computed blocks of film grain are also used in the mosaicing process.